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FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

H310717PCTUS

U.S. APPLICATION NO. (If known, see 37 CFR 1.5).

INTERNATIONAL APPLICATION NO. PCT/CA2004/000992

INTERNATIONAL FILING DATE **JULY 7, 2004**

PRIORITY DATE CLAIMED **JULY 7, 2003**

ATTORNEY'S DOCKET NUMBER

TITLE OF INVENTION DIAGNOSTIC METHOD FOR PREDICTING MAINTENANCE REQUIREMENTS IN ROTATING EQUIPMENT		
APPLICANT(S) FOR DO/EO/US LINDSAY, William; HUNT, Kevin, Guy; STULEN, James, Frederick		
Applic	ant h	nerewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1.	\boxtimes	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3.	×	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4.		The US has been elected (Article 31).
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
		a. is attached hereto (required only if not communicated by the International Bureau).
		b. 🖾 has been communicated by the International Bureau.
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
		a. is attached hereto.
	_	b. has been previously submitted under 35 U.S.C. 154(d)(4).
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
		a. are attached hereto (required only if not communicated by the International Bureau).
		b. have been communicated by the International Bureau.
		c. have not been made; however, the time limit for making such amendments has NOT expired.
		d. have not been made and will not be made.
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11.	. 🗆	A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12.	\boxtimes	A copy of the International Search Report (PCT/ISA/210).
_		13 to 23 below concern document(s) or information included:
13.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14.	\boxtimes	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15.		A FIRST preliminary amendment.
16.		A SECOND or SUBSEQUENT preliminary amendment.
17.		A substitute specification.
18.		A power of attorney and/or change of address letter.
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22.		Express Mail Label No.
23.	\boxtimes	Other items or information:
		Application Data Sheet

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Approved for use through 3/31/2007. OMB U.S. Patent and Trademark Office; U.S. DEPARTMENT OF CO Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number U.S. APPLICATION NO (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/CA2004/000992 **H310717PCTUS** The following fees are submitted: **CALCULATIONS PTO USE** 24. 🖾 \$300 \$300.00 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 \$200.00 All other situations..... \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$100 \$400 All other situations. \$500 \$500.00 TOTAL OF 24, 25 and 26 = \$1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Number of each additional 50 or RATE Extra Sheets fraction thereof (round up to a whole - 100 = /50 = O 11 х \$250.00 \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the \$ earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 19 - 20 = \$0.00 0 \$50.00 х Independent claims - 3= 0 x \$200.00 \$ \$0.00 MULTIPLE DEPENDENT CLAIMS (if applicable) \$360.00 \$0.00 TOTAL OF ABOVE CALCULATIONS = \$ \$1,000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$0.00 reduced by 1/2. SUBTOTAL = \$ \$1,000.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$ \$1,000.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ \$40.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property **TOTAL FEES ENCLOSED =** \$1,040.00 Amount to be \$ Amount to be \$ a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 50-1641 in the amount of \$1,040.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1641 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. 🔲 information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status, phan XI SEND ALL CORRESPONDENCE TO: **CUSTOMER NO. 28079 Æ**IGNATÚRE GOWLING LAFLEUR HENDERSON

1 MAIN STREET WEST

CANADA

HAMILTON, ONTARIO L8P 4Z5

INGRID E. SCHMIDT

REGISTRATION NUMBER

NAME

33,344